



09/06/01

Section
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application Serial No 09/883,125
Filing Date 6/15/2001
Inventorship Scott Roberts
Applicant Microsoft Corporation
Attorney's Docket No. MS1-887US
Title: Systems and Methods for Creating and Displaying a User Interface for Displaying Hierarchical Data

TRANSMITTAL LETTER AND CERTIFICATE OF MAILING

To: Commissioner of Patents and Trademarks,
Washington, D.C. 20231

From: James R. Banowsky (Tel. 509-324-9256; Fax 509-323-8979)
Customer No. 22801



The following enumerated items accompany this transmittal letter and are being submitted for the matter identified in the above caption.

1. Transmittal letter including Certificate of Mailing
2. Notice to File Missing Parts of Application
3. Executed Declaration
4. Fee Transmittal
5. Check #1418 in the amount of \$1,422.00
6. Return Post Card

Large Entity Status ☒ Small Entity Status ☐

Applicant hereby requests an extension of time in any case such an extension is necessary. The fee should be charged to the Deposit Account indicated below.

The Commissioner is hereby authorized to charge payment of fees or credit overpayments to Deposit Account No. 12-0769 in connection with any patent application filing fees under 37 CFR 1.16, and any processing fees, including any necessary extension fees under 37 CFR 1.17.

Date: 9/5/01

By:
James R. Banowsky
Reg. No. 37,773

CERTIFICATE OF MAILING

I hereby certify that the items listed above as enclosed are being deposited with the U.S. Postal Service as either first class mail, or Express Mail if the blank for Express Mail No. is completed below, in an envelope addressed to The Commissioner of Patents and Trademarks, Washington, D.C. 20231, on the below-indicated date. Any Express Mail No. has also been marked on the listed items.

Express Mail No. (if applicable) FI 88771.4991

Date: 9/5/2001

By:
Cheryl K. Boies



SEP. 05 2001

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

#3

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/883,125	06/15/2001	Scott Roberts	MS1-887US

CONFIRMATION NO. 8251

FORMALITIES LETTER



OC000000006407341

22801

LEE & HAYES PLLC

421 W RIVERSIDE AVENUE SUITE 500

SPOKANE, WA 99201

Date Mailed: 08/10/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$582.
 - \$342 for 19 total claims over 20.
 - \$240 for 3 independent claims over 3 .
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1422.

09/07/2001 MAHMED1 00000001 09883125

01 FC:101	710.00 OP
02 FC:102	240.00 OP
03 FC:103	342.00 OP
04 FC:105	130.00 OP

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

EL887746991



FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$)1,422.00

Complete if Known	
Application Number	<u>09/883,125</u>
Filing Date	<u>6/15/2001</u>
First Named Inventor	<u>S. Roberts</u>
Examiner Name	<u>unknown</u>
Group Art Unit	<u>unknown</u>
Attorney Docket No.	<u>MSI-88745</u>

METHOD OF PAYMENT	FEE CALCULATION (continued)																																																																																																																												
<p>1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:</p> <p>Deposit Account Number <u>12-0769</u></p> <p>Deposit Account Name <u>Lee & Hayes, PLLC</u></p> <p><input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17</p> <p><input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27</p> <p>2. <input checked="" type="checkbox"/> Payment Enclosed:</p> <p><input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other</p>	<p>3. ADDITIONAL FEES</p> <table border="1"><thead><tr><th>Large Entity Fee Code (\$)</th><th>Small Entity Fee Code (\$)</th><th>Fee Description</th><th>Fee Paid</th></tr></thead><tbody><tr><td>105</td><td>130</td><td>205 65 Surcharge - late filing fee or oath</td><td><u>130</u></td></tr><tr><td>127</td><td>50</td><td>227 25 Surcharge - late provisional filing fee or cover sheet</td><td></td></tr><tr><td>139</td><td>130</td><td>139 130 Non-English specification</td><td></td></tr><tr><td>147</td><td>2,520</td><td>147 2,520 For filing a request for <i>ex parte</i> reexamination</td><td></td></tr><tr><td>112</td><td>920*</td><td>112 920* Requesting publication of SIR prior to Examiner action</td><td></td></tr><tr><td>113</td><td>1,840*</td><td>113 1,840* Requesting publication of SIR after Examiner action</td><td></td></tr><tr><td>115</td><td>110</td><td>215 55 Extension for reply within first month</td><td></td></tr><tr><td>116</td><td>390</td><td>216 195 Extension for reply within second month</td><td></td></tr><tr><td>117</td><td>890</td><td>217 445 Extension for reply within third month</td><td></td></tr><tr><td>118</td><td>1,390</td><td>218 695 Extension for reply within fourth month</td><td></td></tr><tr><td>128</td><td>1,890</td><td>228 945 Extension for reply within fifth month</td><td></td></tr><tr><td>119</td><td>310</td><td>219 155 Notice of Appeal</td><td></td></tr><tr><td>120</td><td>310</td><td>220 155 Filing a brief in support of an appeal</td><td></td></tr><tr><td>121</td><td>270</td><td>221 135 Request for oral hearing</td><td></td></tr><tr><td>138</td><td>1,510</td><td>138 1,510 Petition to institute a public use proceeding</td><td></td></tr><tr><td>140</td><td>110</td><td>240 55 Petition to revive - unavoidable</td><td></td></tr><tr><td>141</td><td>1,240</td><td>241 620 Petition to revive - unintentional</td><td></td></tr><tr><td>142</td><td>1,240</td><td>242 620 Utility issue fee (or reissue)</td><td></td></tr><tr><td>143</td><td>440</td><td>243 220 Design issue fee</td><td></td></tr><tr><td>144</td><td>600</td><td>244 300 Plant issue fee</td><td></td></tr><tr><td>122</td><td>130</td><td>122 130 Petitions to the Commissioner</td><td></td></tr><tr><td>123</td><td>50</td><td>123 50 Processing fee under 37 CFR 1.17(q)</td><td></td></tr><tr><td>126</td><td>180</td><td>126 180 Submission of Information Disclosure Stmt</td><td></td></tr><tr><td>581</td><td>40</td><td>581 40 Recording each patent assignment per property (times number of properties)</td><td></td></tr><tr><td>146</td><td>710</td><td>246 355 Filing a submission after final rejection (37 CFR § 1.129(a))</td><td></td></tr><tr><td>149</td><td>710</td><td>249 355 For each additional invention to be examined (37 CFR § 1.129(b))</td><td></td></tr><tr><td>179</td><td>710</td><td>279 355 Request for Continued Examination (RCE)</td><td></td></tr><tr><td>169</td><td>900</td><td>169 900 Request for expedited examination of a design application</td><td></td></tr><tr><td colspan="4">Other fee (specify) _____</td></tr><tr><td colspan="4">SUBTOTAL (3) (\$)<u>130.00</u></td></tr></tbody></table>	Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid	105	130	205 65 Surcharge - late filing fee or oath	<u>130</u>	127	50	227 25 Surcharge - late provisional filing fee or cover sheet		139	130	139 130 Non-English specification		147	2,520	147 2,520 For filing a request for <i>ex parte</i> reexamination		112	920*	112 920* Requesting publication of SIR prior to Examiner action		113	1,840*	113 1,840* Requesting publication of SIR after Examiner action		115	110	215 55 Extension for reply within first month		116	390	216 195 Extension for reply within second month		117	890	217 445 Extension for reply within third month		118	1,390	218 695 Extension for reply within fourth month		128	1,890	228 945 Extension for reply within fifth month		119	310	219 155 Notice of Appeal		120	310	220 155 Filing a brief in support of an appeal		121	270	221 135 Request for oral hearing		138	1,510	138 1,510 Petition to institute a public use proceeding		140	110	240 55 Petition to revive - unavoidable		141	1,240	241 620 Petition to revive - unintentional		142	1,240	242 620 Utility issue fee (or reissue)		143	440	243 220 Design issue fee		144	600	244 300 Plant issue fee		122	130	122 130 Petitions to the Commissioner		123	50	123 50 Processing fee under 37 CFR 1.17(q)		126	180	126 180 Submission of Information Disclosure Stmt		581	40	581 40 Recording each patent assignment per property (times number of properties)		146	710	246 355 Filing a submission after final rejection (37 CFR § 1.129(a))		149	710	249 355 For each additional invention to be examined (37 CFR § 1.129(b))		179	710	279 355 Request for Continued Examination (RCE)		169	900	169 900 Request for expedited examination of a design application		Other fee (specify) _____				SUBTOTAL (3) (\$) <u>130.00</u>			
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SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	<u>James R. Baranovsky</u>	Registration No. (Attorney/Agent)	<u>37,773</u>
Signature	<u>James R. Baranovsky</u>	Telephone	<u>509 324-9256</u>
		Date	<u>9-5-01</u>

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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